

## 2018 Current Fiscal Year Report: National Institute of Corrections Advisory Board

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### 1. Department or Agency

Department of Justice

### 2. Fiscal Year

2018

### 3. Committee or Subcommittee

National Institute of Corrections Advisory Board

### 3b. GSA Committee No.

83354

### 4. Is this New During Fiscal Year?

No

### 5. Current Charter

09/11/2017

### 6. Expected Renewal Date

09/11/2019

### 7. Expected Term Date

### 8a. Was Terminated During Fiscal Year?

No

### 8b. Specific Termination Authority

### 8c. Actual Term Date

### 9. Agency Recommendation for Next Fiscal Year

Continue

### 10a. Legislation Req to Terminate?

Not Applicable

### 10b. Legislation Pending?

Not Applicable

### 11. Establishment Authority

Authorized by Law

### 12. Specific Establishment Authority

18 USC Section (Chapter 319) SS 4351

### 13. Effective Date

09/07/1974

### 14. Committee Type

Continuing

### 14c. Presidential?

No

### 15. Description of Committee

Other Committee

### 16a. Total Number of Reports

No Reports for this Fiscal Year

### 17a. Open Meetings and Dates

No Meetings

	Current FY	Next FY
18a(1). Personnel Pmts to Non-Federal Members	\$7,653.00	\$20,000.00
18a(2). Personnel Pmts to Federal Members	\$0.00	\$0.00
18a(3). Personnel Pmts to Federal Staff	\$20,000.00	\$22,000.00
18a(4). Personnel Pmts to Non-Member Consultants	\$4,500.00	\$4,500.00
18b(1). Travel and Per Diem to Non-Federal Members	\$10,876.47	\$25,000.00
18b(2). Travel and Per Diem to Federal Members	\$0.00	\$0.00
18b(3). Travel and Per Diem to Federal Staff	\$0.00	\$0.00
18b(4). Travel and Per Diem to Non-member Consultants	\$0.00	\$0.00
18c. Other(rents,user charges, graphics, printing, mail, etc.)	\$0.00	\$0.00

<b>18d. Total</b>	\$43,029.47	\$71,500.00
<b>19. Federal Staff Support Years (FTE)</b>	0.25	0.25

**20a. How does the Committee accomplish its purpose?**

The Advisory Board may analyze, review and examine work products, legislation, research, fiscal and technical support, policies, regulations, practices or operations of correctional agencies in order to execute the above cited objectives. See generally 18 U.S.C. § 4351.

**20b. How does the Committee balance its membership?**

Under 18 U.S.C. §§ 4351(b) and (c), the Advisory Board shall consist of sixteen members. The following six individuals shall serve as members of the Board ex officio: the Director of the Federal Bureau of Prisons or his designee, the Assistant Attorney General for the Office of Justice Programs or his designee, Chairman of the United States Sentencing Commission or his designee, the Director of the Federal Judicial Center or his designee, the Associate Administrator for the Office of Juvenile Justice and Delinquency Prevention or his designee, and the Assistant Secretary for Human Development of the Department of Health and Human Services or his designee. The remaining ten members of the Board shall be selected by the Attorney General of the United States, after consultation with the Federal Bureau of Prisons and the NIC. Five of these shall be qualified as a practitioner (Federal, State, or local) in the field of corrections, probation, or parole, and shall serve for staggered three-year terms. Five of these members shall be from the private sector, such as business, labor, and education, having demonstrated an active interest in corrections, probation, or parole, and shall serve for staggered three-year terms.

**20c. How frequent and relevant are the Committee Meetings?**

The Advisory Board is authorized to meet up to a quarterly basis, but typically meets approximately 2-3 times per year.

**20d. Why can't the advice or information this committee provides be obtained elsewhere?**

Chapter 319 of title 18, United States Code, establishes the duties of the NIC. See 18 U.S.C. §§ 4351-4352. The NIC is headed by a Director, who is appointed by the Attorney General after consultation with the Advisory Board. See 18 U.S.C. § 4351(b). Section 4331(b) of title 18 directs that the overall policy and operations of the NIC shall be under the supervision of an Advisory Board.

**20e. Why is it necessary to close and/or partially closed committee meetings?**

## 21. Remarks

The overall policy and operations of the NIC are under the supervision of the Advisory Board. In general, the NIC provides training, technical assistance, information services, and policy/program development assistance to Federal, state, and local corrections agencies; through cooperative agreements, awards funds to support program initiatives; and provides leadership to influence correctional policies, practices, and operations nationwide in areas of emerging interest and concern to correctional executives and practitioners as well as public policymakers. The Advisory Board will help develop long-range plans, advise on program development, and recommend guidance to assist the NIC's efforts in these areas.

### Designated Federal Officer

Shaina Vanek DFO

Committee Members	Start	End	Occupation	Member Designation
Carlson, Norman	09/07/2015	09/06/2018	Correctional Administrator (Retired)	Representative Member
Childs, Heather	09/07/2016	09/06/2019	Banking / Capital One	Representative Member
Fogel, Jeremy	10/01/2015	10/01/2019	Federal Judge	Ex Officio Member
Garry, Eileen	01/20/2017	01/20/2021	Acting Administrator OJJDP	Ex Officio Member
Gregg, Tammie	10/01/2016	10/01/2020	Senior Advisor to the AAG	Ex Officio Member
Inch, Mark	10/01/2016	10/01/2020	Director, Bureau of Prisons	Ex Officio Member
Peters, Colette	09/07/2016	09/06/2019	Director, Oregon Department of Corrections	Representative Member
Pryor, William	10/01/2016	10/01/2020	Judge/Commissioner, USSC	Ex Officio Member
Seymour, Anne	09/07/2015	09/06/2018	Victims Advocate	Representative Member
Wagner, Steven	10/01/2015	10/01/2019	Acting Assistant Secretary, HHS	Ex Officio Member
Wall, Ashbel	09/07/2016	09/06/2019	Director, Rhode Island Department of Corrections	Representative Member
Wilkinson, Reginald	09/07/2016	09/06/2019	President, Connecting the Dots, LLC	Representative Member
Williams, Diane	09/07/2015	09/06/2018	Ex Officio, The Safer Foundation	Representative Member

**Number of Committee Members Listed: 13**

### Narrative Description

The overall policy and operations of the NIC are under the supervision of the Advisory Board. In general, the NIC provides training, technical assistance, information services, and policy/program development assistance to Federal, state, and local corrections agencies; through cooperative agreements, awards funds to support program initiatives; and provides leadership to influence correctional policies, practices, and operations nationwide in areas of emerging interest and concern to correctional executives and practitioners as well as public policymakers. The Advisory Board helps to develop long-range plans, advise on program development, and recommends guidance to assist the NIC's efforts in these areas.

**What are the most significant program outcomes associated with this committee?**

Checked if Applies

Improvements to health or safety	<input type="checkbox"/>
Trust in government	<input checked="" type="checkbox"/>
Major policy changes	<input type="checkbox"/>
Advance in scientific research	<input type="checkbox"/>
Effective grant making	<input type="checkbox"/>
Improved service delivery	<input checked="" type="checkbox"/>
Increased customer satisfaction	<input checked="" type="checkbox"/>
Implementation of laws or regulatory requirements	<input type="checkbox"/>
Other	<input type="checkbox"/>

### Outcome Comments

Not Applicable

### What are the cost savings associated with this committee?

Checked if Applies

None	<input checked="" type="checkbox"/>
Unable to Determine	<input type="checkbox"/>
Under \$100,000	<input type="checkbox"/>
\$100,000 - \$500,000	<input type="checkbox"/>
\$500,001 - \$1,000,000	<input type="checkbox"/>
\$1,000,001 - \$5,000,000	<input type="checkbox"/>
\$5,000,001 - \$10,000,000	<input type="checkbox"/>
Over \$10,000,000	<input type="checkbox"/>
Cost Savings Other	<input type="checkbox"/>

### Cost Savings Comments

Not Applicable

### What is the approximate Number of recommendations produced by this committee for the life of the committee?

5

### Number of Recommendations Comments

Specific recommendations/guidance to NIC have included: (1) form a research committee to further the Institute's work in evidence-based corrections; (2) develop and implement strategies for increasing NIC staffing (as of Q4 of FY18, NIC was at a 77% staffing level, with 37 of 48 positions filled; (3) fill vacant/expiring NIC Advisory Board positions, appointed by the AG; (4) continue to monitor and support the appointment of a Director for

NIC; (5) provide specific numbers and titles of NIC programs that were completed as well as those canceled due to limited resources.

**What is the approximate Percentage of these recommendations that have been or will be Fully implemented by the agency?**

20%

**% of Recommendations Fully Implemented Comments**

Number correlated to the list of recommendations above: (5) provided specific numbers/titles of NIC programs executed and/or canceled for FY18 at the August 2018 meeting, per outcomes document (100% implemented).

**What is the approximate Percentage of these recommendations that have been or will be Partially implemented by the agency?**

80%

**% of Recommendations Partially Implemented Comments**

Numbers correlated to the list of recommendations above: (1) NIC built in time for discussion about forming a research subcommittee FY18 advisory board meeting, but it was determined that fiscal and staffing resources would not permit substantive work to be engaged in. Recommendation will be retained for a period of time with resources to support it (10% implemented); (2) NIC has worked with BOP Human Resources to utilize other hiring strategies (e.g., temporary promotions from within BOP and continued use of Intergovernmental Personnel Act temporary hires). Further action on this will occur in FY19, with anticipation of greater hiring flexibility (15% implemented); (3) memos have been submitted to DOJ through BOP for potential board members - awaiting confirmations (50% implemented); (4) potential NIC director names were submitted to the AG by the Advisory Board twice in calendar year 2017 - awaiting a decision from the Department (95% implemented).

**Does the agency provide the committee with feedback regarding actions taken to implement recommendations or advice offered?**

Yes ☒ No ☐ Not Applicable ☐

**Agency Feedback Comments**

The NICAdvisory Board has a positive working relationship with the agency and provides useful assistance to the NIC Executive Staff, aiding them in making decisions that impacts the field of corrections. There is an open line of communication between the Acting Director, Shaina Vanek, and the Advisory Board leadership. Both formal (during meetings)

and informal (during periodic telephone calls/in person meetings at other events) communications occur. Formal feedback is provided at scheduled board meetings.

**What other actions has the agency taken as a result of the committee's advice or recommendation?**

Checked if Applies

Reorganized Priorities	<input checked="" type="checkbox"/>
Reallocated resources	<input checked="" type="checkbox"/>
Issued new regulation	<input type="checkbox"/>
Proposed legislation	<input type="checkbox"/>
Approved grants or other payments	<input type="checkbox"/>
Other	<input type="checkbox"/>

**Action Comments**

Due to significant reductions in NIC's programmatic budget resources and in fiscal years 2017 (30%) and 2018 (48%) as well as static staffing (77% with 37 of 48 positions filled), the NIC Advisory Board provided guidance on NIC priorities (aligned with the direction the Acting Director had begun with her executive team), as well as made recommendations on the programmatic areas to focus on where the field of corrections had the greatest needs. This will continue to be an area of work for NIC leadership as well as oversight by NIC's Advisory Board.

**Is the Committee engaged in the review of applications for grants?**

No

**Grant Review Comments**

NA

**How is access provided to the information for the Committee's documentation?**

Checked if Applies

Contact DFO	<input checked="" type="checkbox"/>
Online Agency Web Site	<input checked="" type="checkbox"/>
Online Committee Web Site	<input checked="" type="checkbox"/>
Online GSA FACA Web Site	<input checked="" type="checkbox"/>
Publications	<input type="checkbox"/>
Other	<input type="checkbox"/>

**Access Comments**

NA